

Towards elementary 2-toposes

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1-dimensional elementary topoi

Definition.

An **elementary topos** is a category \mathcal{C} that

- (E1) has finite limits;
- (E2) is cartesian closed;
- (E3) has a **subobject classifier**.

Finite limits include products (which model logical conjunction) and pullbacks (which model logical substitution).

Cartesian closed means that for every pair $X, Y \in \mathcal{C}$ there is an object Y^X representing maps from X to Y (this models logical implication).

Subobject classifiers

The archetypal subobject classifier is given by the characteristic functions, exhibiting **Set** as **the archetypal elementary topos**.

For every set X , **there is a bijection**

$$\{\text{subsets of } X\} \cong \{\text{functions } X \rightarrow \{T, F\}\}$$

which identifies a subset A of X with the **characteristic function** of A

$$\begin{aligned}\chi_A: X &\longrightarrow \{T, F\} \\ x &\longmapsto \begin{cases} T & \text{if } x \in A \\ F & \text{if } x \notin A \end{cases}\end{aligned}$$

Subsets and hence (logical propositions) **are classified by $\{T, F\}$** .

Subobject classifiers

$T: * \hookrightarrow \{T, F\}$ which picks T **is the archetypal subobject classifier**.

We can capture it with a universal property!

The subset $T: * \hookrightarrow \{T, F\}$ **is universal**, in the sense that for every subset $i_A: A \hookrightarrow X$ in \mathcal{Set} , there exists a unique function $\chi_A: X \rightarrow \{T, F\}$, namely the characteristic function of A , such that the following is a **pullback**:

$$\begin{array}{ccc} A & \xrightarrow{\quad} & * \\ \downarrow \forall i_A & \lrcorner & \downarrow T \\ X & \xrightarrow[\exists! \chi_A]{\quad\quad\quad} & \{T, F\} \end{array}$$

Subobject classifiers

Definition.

A **subobject classifier** in a category \mathcal{E} is a **monomorphism** $\tau: * \hookrightarrow \Omega$ such that **every monomorphism** $i: A \hookrightarrow X$ in \mathcal{E} **is the pullback of τ along a unique morphism** $\chi_i: X \rightarrow \Omega$, called the characteristic morphism of i :

$$\begin{array}{ccc} A & \xrightarrow{\quad} & * \\ \downarrow \scriptstyle \forall i & \lrcorner & \downarrow \scriptstyle \text{true} \\ X & \xrightarrow[\exists! \chi_i]{\quad\quad\quad} & \Omega \end{array}$$

Ω represents an **object of generalized truth values**.

If \mathcal{E} has a subobject classifier, has all finite limits and is cartesian closed, then \mathcal{E} is called an **elementary topos**. In particular, it **has an**

1-dimensional elementary topoi

Set is the archetypal elementary topos

$$\begin{array}{ccc}
 A & \xrightarrow{\quad} & 1 \\
 \downarrow \text{subset} \quad \forall i_A & \lrcorner & \downarrow T \\
 X & \dashrightarrow & \{T, F\} = 2 \\
 & \exists! \chi_A & \\
 & x \mapsto T \text{ iff } x \in A &
 \end{array}$$

$$\forall X \in \mathcal{S}et$$

$$\mathcal{G}_{T,X}: \mathcal{S}et(X, 2) \xrightarrow[\text{pb along } T]{} \mathcal{S}ub(X)$$

is a bijection.

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is a bijection.

Definition.

Let \mathcal{C} be a 1-category with finite limits. A **subobject classifier** in \mathcal{C} is a map $\tau: 1 \hookrightarrow \Omega$ in \mathcal{C} such that $\forall X \in \mathcal{C}$ $\mathcal{G}_{T,X}: \mathcal{C}(X, \Omega) \rightarrow \text{Sub}(X)$ given by pulling back τ is a bijection.

Properties of elementary topoi

Many properties can be deduced from this simple definition, including: finite colimits, power objects, locally cartesian closedness, extensivity, regularity, Barr-exactness, Heyting category.

Cat should be the archetypal elementary 2-topos

Cat satisfies good properties: complete, cocomplete, cartesian closed, regular, Barr-exact.

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Cat satisfies good properties: complete, cocomplete, cartesian closed, regular, Barr-exact.

But other properties are not fully satisfied:

- (i) we can only form categories of presheaves on a small category;
- (ii) only Conduché functors are exponentiable.

What about the classifier?

2-dimensional classifier

In dimension 2, Weber proposed to classify discrete opfibrations.

Their fibres are sets, thus of one dimension higher than the fibres of subsets.

Definition.

A **discrete opfibration** is a functor $p: \mathcal{E} \rightarrow \mathcal{B}$ such that for every $E \in \mathcal{E}$ every $f: p(E) \rightarrow B$ in \mathcal{B} has a unique lifting to E .

$$\begin{array}{ccccc} \mathcal{E} & & E & \overset{\exists! \bar{f}^E}{\dashrightarrow} & f_*E \\ \downarrow p & & \downarrow p & & \downarrow p \\ B & & p(E) & \xrightarrow{f} & B \end{array}$$

Discrete opfibrations in a 2-category are defined by representability.

Cat should be the archetypal elementary 2-topos

Its 2-dimensional classification is given by the **category of elements (Grothendieck construction)**, that exhibits equivalences

$$Cat(\mathcal{B}, Set) \simeq \mathcal{D}OpFib^s(\mathcal{B})$$

between copresheaves and discrete opfibrations with small fibres.

Set represents the object of generalized truth values, allowing for a more expressive internal logic.

2-dimensional classifier

There are **two equivalent ways to capture the category of elements**. It is given by the **comma object**

$$\begin{array}{ccc}
 \mathcal{E} & \longrightarrow & 1 \\
 \downarrow \text{disc op fib} & \swarrow \text{comma} & \downarrow 1=\omega \\
 \text{small fibres} & & \\
 \mathcal{B} & \overset{\exists \chi_p}{\dashrightarrow} & \text{Set} \\
 \text{taking fibres} & &
 \end{array}$$

$$\forall \mathcal{B} \in \text{Cat}$$

$$\text{Cat}(\mathcal{B}, \text{Set}) \xrightarrow[\text{comma along } \omega]{\widehat{\mathcal{G}}_{\omega, \mathcal{B}}} \mathcal{D}OpFib^s(\mathcal{B})$$

is an equivalence of categories.

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$$\forall \mathcal{B} \in \text{Cat} \quad \text{Cat}(\mathcal{B}, \text{Set}) \xrightarrow[\text{comma along } \omega]{\widehat{G}_{\omega, \mathcal{B}}} \mathcal{D}OpFib^s(\mathcal{B})$$

is an equivalence of categories.

And equivalently by the **pullback along the replacement** of ω :

$$\begin{array}{ccc}
 \mathcal{E} & \longrightarrow & 1 \\
 p \downarrow & \swarrow \text{comma} & \downarrow 1=\omega \\
 \mathcal{B} & \xrightarrow{\chi_p} & \text{Set}
 \end{array}
 =
 \begin{array}{ccccc}
 \mathcal{E} & \longrightarrow & \text{Set}_\bullet & \longrightarrow & 1 \\
 p \downarrow & \lrcorner & \tau \downarrow & \swarrow \text{comma} & \downarrow 1=\omega \\
 \mathcal{B} & \xrightarrow{\chi_p} & \text{Set} & \xlongequal{\quad} & \text{Set}
 \end{array}$$

2-dimensional classifier

Definition (M., stronger version of Weber's notion).

Let \mathcal{L} be a 2-category with comma objects, pullbacks along discrete opfibrations and terminal objects. Let P be a fixed pullback stable property for discrete opfibrations. A **good 2-classifier in \mathcal{L}** (w.r.t. P) is a morphism $\omega: 1 \rightarrow \Omega$ in \mathcal{L} such that for every $F \in \mathcal{L}$ the functor

$$\widehat{\mathcal{G}}_{\omega, F}: \mathcal{L}(F, \Omega) \rightarrow \mathcal{D}OpFib(F)$$

given by taking comma objects from ω forms an equivalence of categories when restricting the codomain to the full subcategory $\mathcal{D}OpFib^P(F)$ on the discrete opfibrations that satisfy P .

Reduction of 2-classifiers to dense generators

Definition.

A 2-functor $I: \mathcal{Y} \hookrightarrow_{\text{ff}} \mathcal{L}$ is **dense** if the restricted Yoneda embedding

$$\begin{aligned} \widetilde{I}: \mathcal{L} &\longrightarrow [\mathcal{Y}^{\text{op}}, \text{Cat}] \\ F &\mapsto \mathcal{L}(I(-), F) \end{aligned} \quad \text{is fully faithful.}$$

Equivalently, **every $F \in \mathcal{L}$ is an I -absolute** (i.e. preserved by \widetilde{I})
2-colimit of a diagram that factors through \mathcal{Y} .

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Example.

Representables form a dense generator $y: \mathcal{C} \rightarrow [\mathcal{C}^{\text{op}}, \text{Cat}]$ of 2-presheaves. Every 2-presheaf is a weighted 2-colimit of representables.

Reduction of 2-classifiers to dense generators

Theorem (M.).

Let $I: \mathcal{Y} \xrightarrow[\text{ff}]{\hookrightarrow} \mathcal{L}$ be dense and consider $\omega: 1 \rightarrow \Omega$ in \mathcal{L} . **TFAE:**

- (i) ω is a good 2-classifier in \mathcal{L} , i.e. $\forall F \in \mathcal{L} \ \widehat{G}_{\omega, F}$ is an equivalence;
- (ii) $\forall Y \in \mathcal{Y} \ \widehat{G}_{\omega, Y}$ is an equivalence and a certain **operation of normalization** is possible.

$$\begin{array}{ccccc}
 H(C, X) & \longrightarrow & G & & 1 \\
 \psi^{(C, X)} \downarrow & \lrcorner & \downarrow \varphi & & \downarrow \omega \\
 K(C, X) & \xrightarrow{\Lambda_{(C, X)}} & F & \dashrightarrow^{\chi} & \Omega \\
 & \searrow \widehat{G}_{\omega}^{-1}(\psi^{(C, X)}) & & &
 \end{array}$$



M. 2-classifiers via dense generators and Hofmann-Streicher universe in stacks, *Canadian Journal of Mathematics*, 2024.

Reduction applied to Cat

Example.

The singleton category **1** is dense in Cat . So we can just look at the classification over **1**, where we clearly have an equivalence.

$$\widehat{G}_{\omega,1}: Cat(1, Set) \xrightarrow{\sim} \mathcal{D}OpFib^s(1) \cong Set$$

We deduce from this trivial observation that the category of elements construction is fully faithful and classifies precisely all discrete opfibrations with small fibres.

$$\begin{array}{ccccc} p^{-1}(B) & \longrightarrow & \mathcal{E} & & 1 \\ \downarrow & \lrcorner & \downarrow p & & \downarrow \omega \\ 1 & \xrightarrow{B} & \mathcal{B} & \xrightarrow{\text{collect fibres}} & Set \\ & \searrow p^{-1}(B) & & & \end{array}$$

2-categories of stacks: Grothendieck 2-topoi

Definition (Idea).

A **stack** is a **bicategorical sheaf**. It is a 2-functor $F: \mathcal{C}^{\text{op}} \rightarrow \mathcal{Cat}$ such that, for every $C \in \mathcal{C}$ and covering sieve $S \in J(C)$, every assignment

$$\begin{aligned} (D \xrightarrow{f} C) \in S &\longmapsto M_f \in F(D) \\ (D' \xrightarrow{g} D \xrightarrow[f \in S]{f} C) &\longmapsto \varphi^{f,g}: g^* M_f \cong M_{f \circ g} \end{aligned}$$

with the $\varphi^{f,g}$ satisfying the cocycle condition can be **glued into a global** $M \in F(C)$ with coherent isomorphisms $\psi^f: f^* M \cong M_f$.

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with the $\varphi^{f,g}$ satisfying the cocycle condition can be **glued into a global** $M \in F(C)$ with coherent isomorphisms $\psi^f: f^* M \cong M_f$.

Moreover F is required to be a sheaf on morphisms, i.e. we can glue matching families of morphisms $f^* X \rightarrow f^* Y$ in $F(D)$ in a unique way into global morphisms $X \rightarrow Y$ in $F(C)$.

A good 2-classifier in 2-presheaves

Let \mathcal{C} be a category and consider $\mathcal{L} = [\mathcal{C}^{\text{op}}, \mathbf{Cat}]$. **Representables form a dense generator** $y: \mathcal{C}^{\text{op}} \rightarrow \mathbf{Cat}$, so we can just look at

$$\widehat{\mathcal{G}}_{\omega, y(\mathcal{C})}: [\mathcal{C}^{\text{op}}, \mathbf{Cat}](y(\mathcal{C}), \Omega) \rightarrow \mathcal{D}Op\mathcal{Fib}^s(y(\mathcal{C}))$$

We want all these functors to be equivalences of categories. So the **Yoneda lemma forces a good 2-classifier** Ω to be, up to equivalence,

$$\mathcal{C} \xrightarrow{\Omega} \mathcal{D}Op\mathcal{Fib}^s(y(\mathcal{C}))$$

$\omega: 1 \rightarrow \Omega$ picks the identity on every component.

However, this Ω is only a pseudofunctor, and it is not clear that it lands in small categories.

Indexed Grothendieck construction

Theorem (Caviglia–M.).

For every 2-functor $F: \mathcal{A} \rightarrow \mathbf{Cat}$, there is a pseudonatural equivalence

$$\mathit{OpFib}_{[\mathcal{A}, \mathbf{Cat}]}(F) \simeq \left[\int F, \mathbf{Cat} \right]$$

between split opfibrations in $[\mathcal{A}, \mathbf{Cat}]$ over F and 2-copresheaves on the Grothendieck construction $\int F$ of F .

This restricts to a pseudonatural equivalence

$$\mathcal{D}\mathit{OpFib}^s_{[\mathcal{A}, \mathbf{Cat}]}(F) \simeq \left[\int F, \mathbf{Set} \right].$$

This is a **2-dimensional generalization of the fundamental theorem of elementary topos theory**, in the Grothendieck topos case.



Caviglia and M. Indexed Grothendieck construction, *TAC*, 2024.

A good 2-classifier in 2-presheaves

Theorem (M.).

$$\begin{aligned}\widetilde{\Omega} : \quad \mathcal{C}^{\text{op}} &\longrightarrow \mathbf{Cat} \\ \mathcal{C} &\mapsto [(\mathcal{C}/\mathcal{C})^{\text{op}}, \mathbf{Set}] \\ (C \xleftarrow{f} D) &\mapsto - \circ (f \circ =)^{\text{op}},\end{aligned}$$

equipped with $\widetilde{\omega} : 1 \rightarrow \widetilde{\Omega}$ that picks the constant at 1 presheaf on every component, **is a good 2-classifier in $[\mathcal{C}^{\text{op}}, \mathbf{Cat}]$** that classifies all discrete opfibrations with small fibres.



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A good 2-classifier in 2-presheaves

The proof actually involves **the bicategorical classification process of $\Omega: \mathcal{C} \mapsto \mathcal{D}OpFib^s(y(\mathcal{C}))$**

$$\widehat{G}_{\omega, y(\mathcal{C})}: \text{Ps}[\mathcal{C}^{\text{op}}, \mathcal{CAT}](y(\mathcal{C}), \Omega) \rightarrow \mathcal{D}OpFib^s_{[\mathcal{C}^{\text{op}}, \mathcal{Cat}]}(y(\mathcal{C})) = \Omega(\mathcal{C})$$

which **is isomorphic to the Yoneda lemma's map** and is thus an equivalence.

A good 2-classifier in 2-presheaves

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which **is isomorphic to the Yoneda lemma's map** and is thus an equivalence.

Idea of the normalization process:

$$\begin{array}{ccc} H^{C,X} & \longrightarrow & G \\ \psi^{C,X} \downarrow & \lrcorner & \downarrow \varphi \\ y(\mathcal{C}) & \xrightarrow{X} & F \end{array}$$

change the fibre $(\psi_D^{C,X})_{D \xrightarrow{f} C}$
into the fibre $(\varphi_D)_{F(f)(X)}$
(fibres of φ are global).

Restricting $\tilde{\Omega}$ to a good 2-classifier in stacks

Consider $i: \mathcal{St}(C, J) \subseteq [C^{\text{op}}, \mathcal{Cat}]$ the full 2-subcategory on **stacks**.
We restrict $\tilde{\omega}: 1 \rightarrow \tilde{\Omega}$ to a good 2-classifier in stacks.

We proved with a general argument that it is enough to find $\Omega_J \in \mathcal{St}(C, J)$ and $\ell: i(\Omega_J) \xrightarrow{\text{ff}} \tilde{\Omega}$ **chronic** such that, given $\varphi: G \rightarrow F$ a discrete opfibration in $\mathcal{St}(C, J)$ with small fibres

$$\begin{array}{ccc}
 1 & \xrightarrow{\tilde{\omega}} & \tilde{\Omega} \\
 \downarrow \exists i(\omega_J) & & \downarrow \ell \\
 i(\Omega_J) & \xrightarrow{\ell} & \tilde{\Omega}
 \end{array}
 \qquad
 \begin{array}{ccc}
 i(G) & \xrightarrow{\quad} & 1 \\
 i(\varphi) \downarrow & \swarrow \text{comma} & \downarrow \tilde{\omega} \\
 i(F) & \xrightarrow{\quad \chi \quad} & \Omega \\
 \downarrow \exists i(\chi_J) & \nearrow \ell & \downarrow \\
 i(\Omega_J) & &
 \end{array}$$

Then $\omega_J: 1 \rightarrow \Omega_J$ is a good 2-classifier in $\mathcal{St}(C, J)$.

A good 2-classifier in stacks

Theorem (M.).

$$\Omega_J : \mathcal{C}^{\text{op}} \longrightarrow \text{Cat}$$

$$\begin{aligned} \mathcal{C} &\mapsto \text{Sh}(\mathcal{C}/\mathcal{C}, J) \subseteq [(\mathcal{C}/\mathcal{C})^{\text{op}}, \text{Set}] \\ (\mathcal{C} \xleftarrow{f} D) &\mapsto - \circ (f \circ =)^{\text{op}}, \end{aligned}$$

equipped with $\omega_J : 1 \rightarrow \Omega_J$ that picks the constant at 1 sheaf on every component, **is a good 2-classifier in $\text{St}(\mathcal{C}, J)$** that classifies all discrete opfibrations with small fibres.

This also solves a problem posed by Hofmann and Streicher when attempting to lift Grothendieck universes to sheaves.



M. 2-classifiers via dense generators and Hofmann-Streicher universe in stacks, *Canadian Journal of Mathematics*, 2024.

Other possible axioms of elementary 2-topos

Definition (Weber).

Let \mathcal{K} be a 2-category. A duality involution for \mathcal{K} is an involution 2-functor $(-)^{\circ}: \mathcal{K}^{\text{co}} \rightarrow \mathcal{K}$ equipped with pseudonatural equivalences of categories

$$\mathcal{DFib}_{\mathcal{K}}(A \times B, C) \simeq \mathcal{DFib}_{\mathcal{K}}(A, B^{\circ} \times C)$$

$(-)^{\text{op}}: \mathcal{Cat}^{\text{co}} \rightarrow \mathcal{Cat}$ is a duality involution for \mathcal{Cat} .

For presheaves on a 1-category \mathcal{C} (and stacks over a site \mathcal{C}), one can consider the pointwise opposite: the opposite of $F: \mathcal{C}^{\text{op}} \rightarrow \mathcal{Cat}$ is

$$\mathcal{C}^{\text{op}} \cong \mathcal{C}^{\text{coop}} \xrightarrow{F^{\text{co}}} \mathcal{Cat}^{\text{co}} \xrightarrow{(-)^{\text{op}}} \mathcal{Cat}$$

But for presheaves on a 2-category \mathcal{L} it's trickier.

Properties of Grothendieck 2-toposes

Proposition (M.).

*The 2-category $\mathcal{St}(C, J)$ of stacks **has all flexible limits** (thus all comma objects and the terminal object) and all pullbacks along discrete opfibrations, calculated in $[C^{\text{op}}, \text{Cat}]$ and hence pointwise.*

It looks like flexible limits are the right ones to consider in the definition of elementary 2-topos.

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Proposition (M.).

*The 2-category $\mathcal{St}(C, J)$ of stacks **has all flexible limits** (thus all comma objects and the terminal object) and all pullbacks along discrete opfibrations, calculated in $[C^{\text{op}}, \text{Cat}]$ and hence pointwise.*

It looks like flexible limits are the right ones to consider in the definition of elementary 2-topos.

The codomain $\Omega_J: C \mapsto \mathcal{Sh}(C/C, J)$ of the good 2-classifier in $\mathcal{St}(C, J)$ **is probably an internal topos**. (In dimension 1 we have that Ω is always an internal Heyting algebra). Weber has already shown internal cartesian closedness (with some assumptions).